



Dkt. 2271/62289-Z

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Seiji SARAYAMA et al.

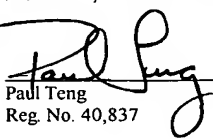
Serial No.: 10/601,301

Group Art Unit: 2818

Date Filed: June 13, 2003

Examiner: Tu-Tu Ho

For: PRODUCTION OF A GaN BULK CRYSTAL SUBSTRATE AND A SEMICONDUCTOR DEVICE FORMED ON A GaN BULK CRYSTAL SUBSTRATE

I hereby certify that this correspondence is being deposited this date with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
 Paul Teng Reg. No. 40,837	February 28, 2007 Date

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INFORMATION DISCLOSURE STATEMENT

Sir:

In order to ensure compliance with applicant's duty of disclosure under 37 C.F.R. §1.56 and §1.97(a)-(d), applicant hereby submits this Information Disclosure Statement. It is respectfully requested that the references cited in the annexed Form PTO-1449 be considered by the Examiner in connection with the above-identified patent application, and that such art be made of record in said application. Copies of references listed on the annexed Form PTO-1449 that are not a U.S. patent or U.S. patent application publication are submitted herewith..

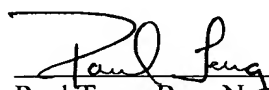
This Information Disclosure Statement is being filed concurrently with a request for continued examination under 37 C.F.R. § 1.114. Therefore, in accordance with 37 C.F.R. § 1.97(b)(4), no fee or certification is due or required.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the items are prior art. The items listed are submitted in good faith, but are not intended to substitute for Examiner's search. It is hoped, however, that in addition to apprising the Examiner of the particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

The filing of this Information Disclosure Statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Several of the references listed on the annexed Form PTO-1449 were cited in the record of corresponding Japanese application no. 11-277045. Some of the references were cited in an anonymous submission in connection with Japanese application no. 11-277045. A copy of the anonymous submission and English translation thereof are also enclosed. U.S. Patent No. 5,868,837 which is also cited in the anonymous submission is already of record in this application and therefore is not listed in the Form PTO-1449. JP 11-60394 which is also cited in the anonymous submission corresponds to U.S. Patent No. 6,270,569 which is already of record in this application and therefore JP 11-60394 is not listed in the Form PTO-1449.

Respectfully submitted,


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**U.S. Department of Commerce
Patent and Trademark Office**

Serial No.
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Filing Date:
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Group Art Unit:
2818

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS																
		Document Number								Date	Country	Class	Subclass	Translation		
														Yes	No	
	JP	50	-	1	4	9	2	7	0	11-29-1975	Japan			X		
	JP	56	-	1	6	0	4	0	0	12-10-1981	Japan			Abstract		
	JP	4	-	1	1	4	9	9	1	4-15-1992	Japan			Abstract		
	JP	7	-	3	0	0	3	8	5	11-14-1995	Japan			Abstract		
	JP	9	-	1	1	0	5	7	6	4-28-1997	Japan			Abstract		
	JP	1	0	-	6	7	5	9	2	3-10-1998	Japan			Abstract		
	JP	1	0	-	7	4	9	6		1-13-1998	Japan			Abstract		
	JP	2001	-	5	8	9	0	0		3-6-2001	Japan			Abstract		

		Anonymous submission of information in corresponding Japanese application no. JP11-277045 and English translation thereof

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.